



N. Zefor

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on June 12, 2001.

Dated: June 12, 2001

Thomas L. Evans
Thomas L. Evans

Atty. Docket No.
05313.00003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Marc D. VanHeyningen et al.

Examiner:

U.S. Pat. App. No.: 09/783,146

Group Art Unit: 2131

Filed: February 13, 2001

Title: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING
DATA TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, dated May 24, 2001, transmitted herewith are the following:

1. A copy of Notice to File Missing Parts of Application, mailed May 24, 2001;
2. Two Assignments of the above-identified U.S. patent application to Aventail Corporation, together with a Recordation Form Cover Sheet for the Assignments;



3. Two Declarations and Power of Attorney; and
4. A Formal Drawing Transmittal with 13 sheets of formal drawings.

FEE COMPUTATION

Filing Fee	\$589.00
Surcharge	\$ 65.00
Assignment Recording Fee	\$ <u>40.00</u>

TOTAL: \$694.00

The Commissioner is hereby authorized to charge Deposit Account No. 19-0733 in the amount of \$694.00, in response to the Notice to File Missing Parts of Application. Please charge any additional fees, or credit any overpayment, associated with this submission to said Deposit Account.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: June 12, 2001

By: Thomas L. Evans
Thomas L. Evans, Reg. No. 35,805
1001 G Street, N.W., 11th Floor
Washington, D.C. 20001-4597
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,146	02/13/2001	Marc van Heyningen	05313.00003

CONFIRMATION NO. 1516

FORMALITIES LETTER



OC000000006112625

Banner & Witcoff, Ltd.
1001 G. Street, N.W.
Washington, DC 20001-4597

Date Mailed: 05/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$234.
 - \$234 for 26 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 654.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/20/2001 HDAHTE1 00000036 130733 09783146

355.00 CH
234.00 CH
65.00 CH

01 FC:201
02 FC:203
03 FC:205

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Group Art Unit: 2131

Filed: February 13, 2001

Title: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING
DATA TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

FORMAL DRAWING TRANSMITTAL

Box Missing Parts
Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are 13 sheets of formal drawings (FIGS. 1-10B) for the
above-referenced patent application.

Applicants respectfully request the Draftsperson's approval of these formal drawings.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: June 12, 2001

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